

# Update – TSA Threat Assessment Programs

## *Trucking Security Orientation Seminar*

*Little Rock, AR*

*September 11, 2008*



Transportation  
Security  
Administration



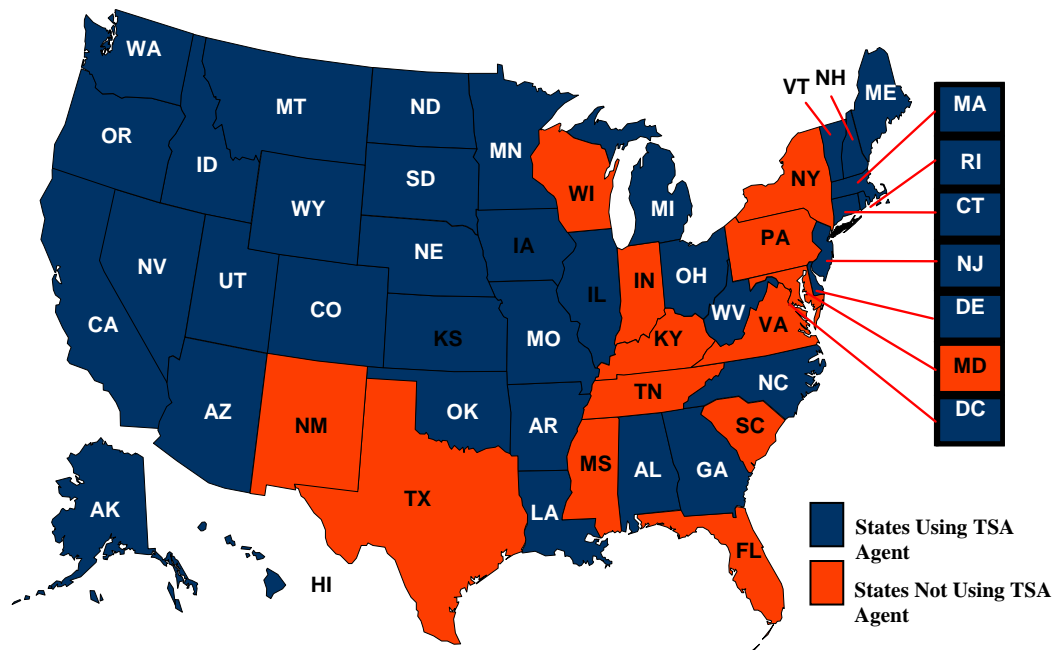
## Hazardous Materials Endorsement (HME) Threat Assessment Program

- Meets the mandate of Section 1012 of the USA PATRIOT Act that prohibits states from issuing a license to transport hazardous materials in commerce to any individual without a determination the individual does not pose a security risk
- TSA conducts a background assessment on all drivers seeking to apply for, renew, or transfer a hazardous materials endorsement (HME) on their state-issued CDL and includes a (1) fingerprint-based FBI criminal history records check, (2) a check for ties to terrorism, and (3) immigration status check.
- Congress directed that this program be fee-based and self-sustaining and payment of the Federal fee is a requirement to undergo the TSA eligibility assessment
  - 49 CFR 1572
  - Average fee is around \$89



# Collection of HME Application Information

- 37 States and the District of Columbia utilize the TSA-contracted agent (Hazprint); over 220 enrollment sites (fixed and mobile).
- 13 States collect the required biographic information and biometric data through their individually established and maintained programs.
- Drivers registered in a state serviced by the TSA Agent may be fingerprinted in any other state serviced by the TSA Agent.
- For drivers who submit applications prior to expiration of license, TSA rules allow states to renew/transfer current HME's pending results of TSA check; allowing uninterrupted use of HME until all phases of TSA check is completed and results reported to the State of license.





## HME Assessment Process

Electronic process reduces errors and decreases processing time

- 89% of cases initially accomplished 5 days or less
- 91% of cases initially accomplished in 10 days or less

Robust Redress Process

- Appeal process for applicants with updated/corrected records
- Waiver process for applicants who would otherwise be disqualified
- ALJ for applicants disqualified after submission of most appeals/waivers
- Majority applicants disqualified did not take advantage of redress process

Program Snapshot

- Average Monthly Applications (2008): 25,723
- Total Applications Completed to Date (9/08/08): 971,122
- Final Disqualifications: 8,429 (< 1% of all applicants)

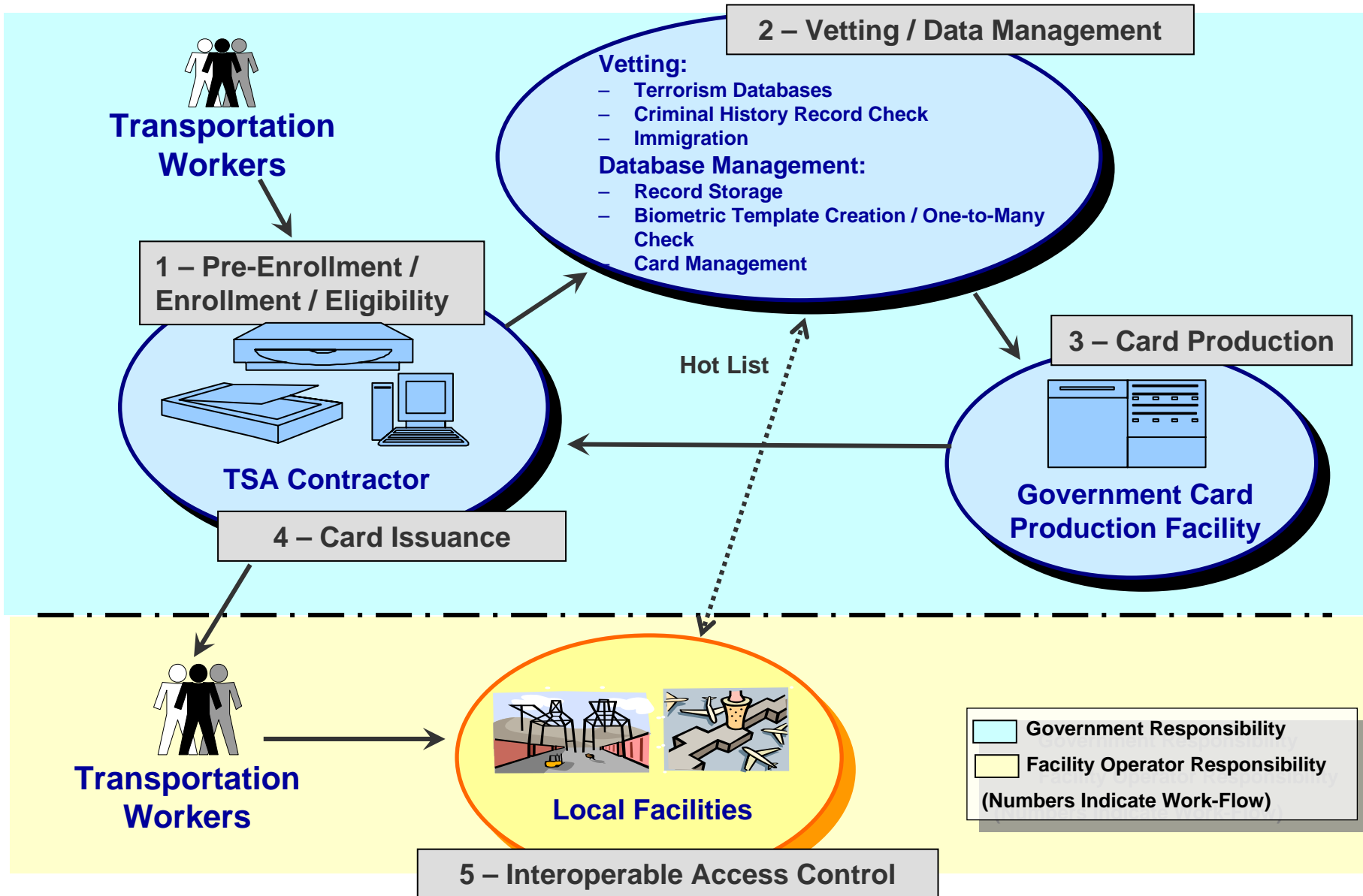


## TWIC Program Overview

- Joint TSA/USCG Program
- Legislative foundation in Maritime Transportation Security Act (MTSA) and Aviation Transportation Security Act (ATSA)
- Issuance based on a comprehensive security threat assessment (terrorism, criminal, immigration)
- Fully fee-funded – user fees must cover all operating costs
  - \$132.50 for standard TWIC
  - \$105.25 with comparable STA (i.e., HME, FAST, MMD, MML)
  - \$60 replacement
- Common, consistent biometric credential used at over 3,200 facilities and on over 10,000 vessels
- Local owners/operators control access



# TWIC Process Flow





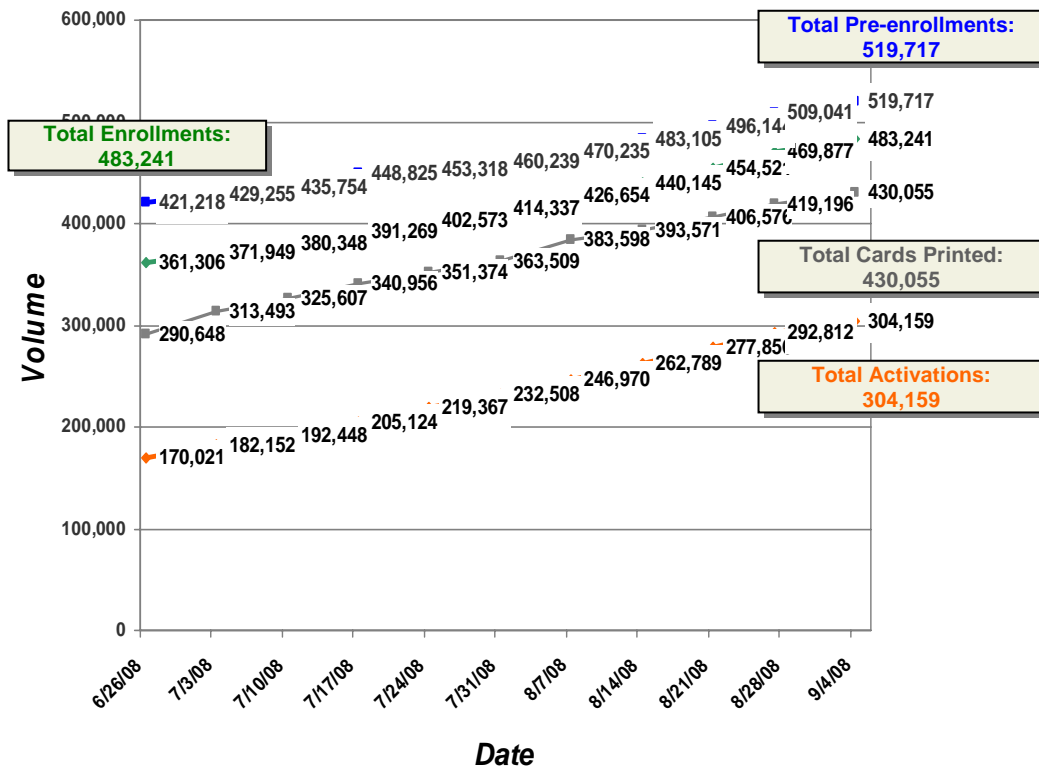
# TWIC Enrollment Statistics

## Program Statistics

Enrollment/Activation	Measurement (as of 9/04/08)
Pre-Enrollments	519,717
Enrollments (148 enrollment centers operational)	483,241
Cards Printed (as of 9/03)	430,055
Cards Activated	304,159
Average Enrollment Time	9.06 minutes

Security Threat Assessment	Measurement (as of 8/31/08)
Initial Disqualification Letters	16,766
Appeals Requested	6,910
Appeals Granted	4,622
Waivers Requested	809
Waivers Granted	572
ALJ Hearings Requested	4
ALJ Hearings Granted	4
Final Disqualification Letters	32
Number of Expired IDTAs	1,782

## Enrollment Trending



### TWIC Information and Resources

Help Desk: 1-866-DHS-TWIC (1-866-347-8942) Website: [www.tsa.gov/twic](http://www.tsa.gov/twic)

8:00 AM ET - 12:00 AM ET

Email: [credentialing@dhs.gov](mailto:credentialing@dhs.gov)



# TWIC Compliance Framework

Phased-in COTP zone compliance (specific dates provided if announcements have occurred)

October - November 2008	December 2008 – January 2009	February 2009	March - April 2009
<p><u>October 15, 2008</u> Northern New England Boston Southeastern New England</p> <p><u>October 31, 2008</u> Buffalo Duluth Detroit Lake Michigan Sault Ste. Marie</p> <p><u>November 28, 2008</u> Corpus Christi Port Arthur North Carolina Cape Fear River</p>	<p><u>December 1, 2008</u> Long Island Sound Charleston Savannah Jacksonville</p> <p><u>December 30, 2008</u> Baltimore Delaware Bay Mobile Pittsburgh Ohio Valley Lower Mississippi River San Diego</p> <p><u>January 13, 2009</u> Hampton Roads Morgan City New Orleans Upper Mississippi River Miami Key West St. Petersburg</p>	<p>Honolulu South East Alaska Prince William Sound Western Alaska</p> <p>Puget Sound Portland (OR) San Francisco Bay</p>	<p>New York Guam Houston/Galveston Los Angeles/Long Beach San Juan</p> <p><b>NOTE: COTP Zones are arranged in order of tentative groupings for compliance. All compliance announcements will be made in the Federal Register at least 90 days in advance.</b></p>

**Little Rock is within this COTP zone**





## Little Rock Enrollment Center

- Address:  
TWIC Enrollment Center  
2005 E 17th Street  
Little Rock, AR 72202
- Hours of operation:  
Monday – Friday, 8:00 AM - 5:00 PM
- To pre-enroll and schedule an appointment:  
<https://twicprogram.tsa.dhs.gov/TWICWebApp/>



## Contacts / Resources

### Communications/Outreach

- Cristin Finkel
  - 571-227-3579
  - [Cristin.Finkel@dhs.gov](mailto:Cristin.Finkel@dhs.gov)

### HME Program

- George Petersen, Program Manager
  - 571-227-2215
  - [George.Petersen@dhs.gov](mailto:George.Petersen@dhs.gov)
- TSA HME website, with comprehensive & up-to-date information at: [www.tsa.gov/what\\_we\\_do/layers/hazmat](http://www.tsa.gov/what_we_do/layers/hazmat)
- Help Desk call center: Available for drivers in all states to check the status of their security assessments 1-877-429-7746.

### TWIC Program

- Rex Lovelady, Program Manager
  - 571-227-2076
  - [Rex.Lovelady@dhs.gov](mailto:Rex.Lovelady@dhs.gov)
- TSA TWIC website with comprehensive & up-to-date information at: [www.tsa.gov/twic](http://www.tsa.gov/twic)
- Help Desk call center: Available to all applicants at 1-866-DHS-TWIC (1-866-347-8942) or email at <http://twicinformation.tsa.dhs.gov/twicinfo/contact.jsp>.