Update – TSA Threat Assessment Programs

Trucking Security Orientation Seminar

Little Rock, AR
September 11, 2008
Hazardous Materials Endorsement (HME) Threat Assessment Program

- Meets the mandate of Section 1012 of the USA PATRIOT Act that prohibits states from issuing a license to transport hazardous materials in commerce to any individual without a determination the individual does not pose a security risk

- TSA conducts a background assessment on all drivers seeking to apply for, renew, or transfer a hazardous materials endorsement (HME) on their state-issued CDL and includes a (1) fingerprint-based FBI criminal history records check, (2) a check for ties to terrorism, and (3) immigration status check.

- Congress directed that this program be fee-based and self-sustaining and payment of the Federal fee is a requirement to undergo the TSA eligibility assessment
  - 49 CFR 1572
  - Average fee is around $89
• 37 States and the District of Columbia utilize the TSA-contracted agent (Hazprint); over 220 enrollment sites (fixed and mobile).
• 13 States collect the required biographic information and biometric data through their individually established and maintained programs.
• Drivers registered in a state serviced by the TSA Agent may be fingerprinted in any other state serviced by the TSA Agent.
• For drivers who submit applications prior to expiration of license, TSA rules allow states to renew/transfer current HME’s pending results of TSA check; allowing uninterrupted use of HME until all phases of TSA check is completed and results reported to the State of license.
HME Assessment Process

Electronic process reduces errors and decreases processing time
  – 89% of cases initially accomplished 5 days or less
  – 91% of cases initially accomplished in 10 days or less

Robust Redress Process
  – Appeal process for applicants with updated/corrected records
  – Waiver process for applicants who would otherwise be disqualified
  – ALJ for applicants disqualified after submission of most appeals/waivers
  – Majority applicants disqualified did not take advantage of redress process

Program Snapshot
  – Average Monthly Applications (2008): 25,723
  – Total Applications Completed to Date (9/08/08): 971,122
  – Final Disqualifications: 8,429 (< 1% of all applicants)
TWIC Program Overview

- Joint TSA/USCG Program

- Legislative foundation in Maritime Transportation Security Act (MTSA) and Aviation Transportation Security Act (ATSA)

- Issuance based on a comprehensive security threat assessment (terrorism, criminal, immigration)

- Fully fee-funded – user fees must cover all operating costs
  - $132.50 for standard TWIC
  - $105.25 with comparable STA (i.e., HME, FAST, MMD, MML)
  - $60 replacement

- Common, consistent biometric credential used at over 3,200 facilities and on over 10,000 vessels

- Local owners/operators control access
TWIC Process Flow

1 – Pre-Enrollment / Enrollment / Eligibility

TSA Contractor

2 – Vetting / Data Management

Vetting:
- Terrorism Databases
- Criminal History Record Check
- Immigration

Database Management:
- Record Storage
- Biometric Template Creation / One-to-Many Check
- Card Management

3 – Card Production

Government Card Production Facility

4 – Card Issuance

Transportation Workers

5 – Interoperable Access Control

Local Facilities

Transportation Workers

Government Responsibility
Facility Operator Responsibility

(Numbers Indicate Work-Flow)
TWIC Enrollment Statistics

Program Statistics

<table>
<thead>
<tr>
<th>Enrollment/Activation</th>
<th>Measurement (as of 9/04/08)</th>
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</thead>
<tbody>
<tr>
<td>Pre-Enrollments</td>
<td>519,717</td>
</tr>
<tr>
<td>Enrollments (148 enrollment centers operational)</td>
<td>483,241</td>
</tr>
<tr>
<td>Cards Printed (as of 9/03)</td>
<td>430,055</td>
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<tr>
<td>Cards Activated</td>
<td>304,159</td>
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<tr>
<td>Average Enrollment Time</td>
<td>9.06 minutes</td>
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</tbody>
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<table>
<thead>
<tr>
<th>Security Threat Assessment</th>
<th>Measurement (as of 8/31/08)</th>
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<tbody>
<tr>
<td>Initial Disqualification Letters</td>
<td>16,766</td>
</tr>
<tr>
<td>Appeals Requested</td>
<td>6,910</td>
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<tr>
<td>Appeals Granted</td>
<td>4,622</td>
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<tr>
<td>Waivers Requested</td>
<td>809</td>
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<tr>
<td>Waivers Granted</td>
<td>572</td>
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<tr>
<td>ALJ Hearings Requested</td>
<td>4</td>
</tr>
<tr>
<td>ALJ Hearings Granted</td>
<td>4</td>
</tr>
<tr>
<td>Final Disqualification Letters</td>
<td>32</td>
</tr>
<tr>
<td>Number of Expired IDTAs</td>
<td>1,782</td>
</tr>
</tbody>
</table>

Enrollment Trending

- **Total Pre-enrollments:** 519,717
- **Total Enrollments:** 483,241
- **Total Cards Printed:** 430,055
- **Total Activations:** 304,159

TWIC Information and Resources

Help Desk: 1-866-DHS-TWIC (1-866-347-8942)  
Website: [www.tsa.gov/twic](http://www.tsa.gov/twic)  
8:00 AM ET - 12:00 AM ET  
Email: credentialing@dhs.gov
### TWIC Compliance Framework

**Phased-in COTP zone compliance (specific dates provided if announcements have occurred)**

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<thead>
<tr>
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<tbody>
<tr>
<td><strong>October 15, 2008</strong></td>
<td><strong>December 1, 2008</strong></td>
<td>Honolulu</td>
<td>New York</td>
</tr>
<tr>
<td>Northern New England</td>
<td>Long Island Sound</td>
<td>South East Alaska</td>
<td>Guam</td>
</tr>
<tr>
<td>Boston</td>
<td>Charleston</td>
<td>Prince William Sound</td>
<td>Houston/Galveston</td>
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<tr>
<td>Southeastern New England</td>
<td>Savannah</td>
<td>Western Alaska</td>
<td>Los Angeles/Long Beach</td>
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<tr>
<td><strong>October 31, 2008</strong></td>
<td><strong>December 30, 2008</strong></td>
<td>Puget Sound</td>
<td>San Juan</td>
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<tr>
<td>Buffalo</td>
<td>Baltimore</td>
<td>Portland <em>(OR)</em></td>
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<tr>
<td>Duluth</td>
<td>Delaware Bay</td>
<td>San Francisco Bay</td>
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<tr>
<td>Detroit</td>
<td>Mobile</td>
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<td>Lake Michigan</td>
<td>Pittsburgh</td>
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<tr>
<td>Sault Ste. Marie</td>
<td>Ohio Valley</td>
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<tr>
<td><strong>November 28, 2008</strong></td>
<td><strong>January 13, 2009</strong></td>
<td>Upper Mississippi River</td>
<td></td>
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<tr>
<td>Corpus Christi</td>
<td>Hampton Roads</td>
<td>New Orleans</td>
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<tr>
<td>Port Arthur</td>
<td>Morgan City</td>
<td>Morgan City</td>
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<tr>
<td>North Carolina</td>
<td>New Orleans</td>
<td>Upper Mississippi River</td>
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<tr>
<td>Cape Fear River</td>
<td>Upper Mississippi River</td>
<td>Miami</td>
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<td></td>
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<td>Key West</td>
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<td><strong>December 2008 – January 2009</strong></td>
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<td>St. Petersburg</td>
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**NOTE:** COTP Zones are arranged in order of tentative groupings for compliance. All compliance announcements will be made in the Federal Register at least 90 days in advance.

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**Little Rock is within this COTP zone**

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Little Rock Enrollment Center

• Address:
  TWIC Enrollment Center
  2005 E 17th Street
  Little Rock, AR 72202

• Hours of operation:
  Monday – Friday, 8:00 AM - 5:00 PM

• To pre-enroll and schedule an appointment:
  https://twicprogram.tsa.dhs.gov/TWICWebApp/
Contacts / Resources

Communications/Outreach
– Cristin Finkel
  • 571-227-3579
  • Cristin.Finkel@dhs.gov

HME Program
– George Petersen, Program Manager
  • 571-227-2215
  • George.Petersen@dhs.gov
– TSA HME website, with comprehensive & up-to-date information at:
  www.tsa.gov/what_we_do/layers/hazmat
– Help Desk call center: Available for drivers in all states to check the status of their security assessments 1-877-429-7746.

TWIC Program
– Rex Lovelady, Program Manager
  • 571-227-2076
  • Rex.Lovelady@dhs.gov
– TSA TWIC website with comprehensive & up-to-date information at:
  www.tsa.gov/twic
– Help Desk call center: Available to all applicants at 1-866-DHS-TWIC (1-866-347-8942) or email at http://twicinformation.tsa.dhs.gov/twicinfo/contact.jsp.