



# Transportation Security Administration

The Corporate Security  
Review (CSR) Program  
September 11, 2008

Transportation Sector Network  
Management

Highway and Motor Carrier Security Division

# Corporate Security Review Background

- Spring 2003 TSA Implemented the CSR Program
- Reviews conducted by the Highway and Motor Carrier Division at:
  - ✓ Trucking- security sensitive, general freight, food transporters and rental/leasing companies
  - ✓ School Bus- publicly and privately owned/operated
  - ✓ Motorcoach Operators- intercity, charter, and tour
  - ✓ State Highway Departments of Transportation
  - ✓ Interstates, Turnpikes, and Toll Roads
  - ✓ Privately owned assets (bridges and tunnels)



# What is a CSR?

- **Voluntary/instructive** security plan review performed by TSA Transportation Security Specialists
- Review and validation of carrier's security plan
- Set of approximately 130 questions; 11 security areas
- Conducted on-site



# Purpose

- Validate implementation of corporate security plans
- Gather security data for intra/intermodal comparative and trend analysis
- Identify, analyze, and mitigate vulnerabilities
- Develop security management reports
- Provide domain awareness of security measures throughout the transportation sector
- Supply baseline data that can be used to develop security standards
- Promote outreach to transportation security partners
  - To ensure ongoing communication
  - To foster relationships





# Process

- TSA requests a visit with the carrier
- Information packet sent to carrier
  - Packet includes:
    - Background Information
    - SSI Handling and Guidance (49 CFR Part 15 and 1520)
    - CSR Questions
- Meet with carrier to review plans and to tour the facility

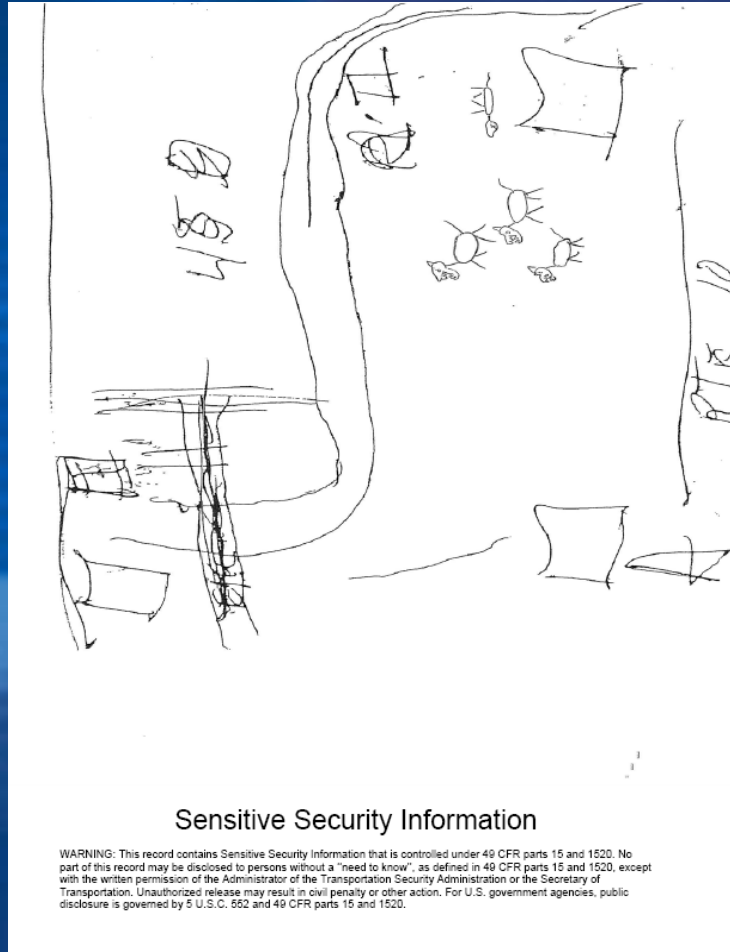


# Sections

1. Management & Oversight of Security Plan
2. Threat Assessment
3. Criticality Assessment
4. Vulnerability Assessment
5. Personnel Security
6. Training
7. Physical Security Countermeasures
8. En-route Security
9. IT Security
10. Security Exercises/Drills
11. Hazmat Addendum



# Security Plans



# Management & Oversight of the Security Plan

- Carrier has a security plan
- Components of a security plan
- Organizational level plan is created
- Frequency of updates
- Security coordinator/duties
- Federal Points of Contact





# Threat Assessment

- Monitoring external sources for threat information
- Procedures for distributing threat information
- Response to heightened level of threat



# Criticality Assessment

- List of critical assets
- Allocation of security resources



# Vulnerability Assessment

- Conducting vulnerability assessments
- Corrective Actions
  - Recommended in assessments
  - Implemented based on recommendations





# Personnel Security

- Background Checks
- Identification Cards
  - Employees
  - Contractors

**BACKGROUND CHECK RELEASE**

PLEASE READ THIS FORM CAREFULLY and be aware that by allowing Oklahoma Department of Civil Emergency Management (OCCEM) to investigate your background with Oklahoma State Bureau of Investigation, you will be waiving and releasing all claims for damages you might sustain arising out of the criminal background check and review.

I, the undersigned, authorize the Oklahoma Department of Civil Emergency Management (OCCEM) to obtain criminal records about me from the Oklahoma State Bureau of Investigation (OSBI). It also authorizes OCCEM to provide such records to the local jurisdiction providing Citizens Emergency Response Team (CERT) training for the purpose of evaluating my history in order to receive an official CERT Identification badge.

PLEASE PRINT

Personal Information

Name \_\_\_\_\_  
Last First MI

Address \_\_\_\_\_  
City State Zip Code

How long have you lived at this address? \_\_\_\_\_  
How long have you lived in Oklahoma? \_\_\_\_\_

If you have lived in Oklahoma less than 30 years, give your previous address

Address \_\_\_\_\_  
City State Zip Code

Social Security No. \_\_\_\_\_ Driver's License No. \_\_\_\_\_

Date of Birth: \_\_\_\_\_ SSN: \_\_\_\_\_

Maiden or other name(s) used:

Name: \_\_\_\_\_  
Last First MI

Name: \_\_\_\_\_  
Last First MI

Applicant's Signature \_\_\_\_\_ DATE \_\_\_\_\_

**Background Check Release Form**





# Training

- Training for New and Current Employees
  - Security Awareness
  - Security Plan
- Training Curriculum
- Training Records



# Physical Security Countermeasures

- Physical Barriers
- Intrusion Detection
- Security Cameras
- Key Control Programs
- Use of Security Guards
- Designated Secure Areas



# En-route Security

- Pre- and Post-Trip Security Inspections
- Vehicle and Trailer Tracking





# IT Security

- IT Security Plan
- IT Security Officer
- Unauthorized Access to IT Systems
- System Penetration Tests
- Continuity of Operations





# Security Exercises/Drills

- Frequency of drills
- Inclusion of external personnel or agencies when conducting exercises/drills
- Documentation of results/lessons learned



# Hazmat Addendum

- Address TSA's Security Action Items (SAIs)
- SAI- voluntary security guidelines for the transport of Hazmat
- Geared toward Highway Security-Sensitive Materials
- Questions Address
  - Personnel Security
  - En-route
  - Unauthorized Access
  - General Security



# Benefits

- Provides data indicating the degree to which companies are implementing Corporate Security Plans
- Expands both the TSA's and carrier's domain awareness of existing mitigation strategies
- Evaluates transportation facility/system security posture
- Provides necessary data to identify a current security baseline and conduct gap, comparative, and trend analyses
- Develop and share industry best practices
- Reduces risk exposure from cargo and equipment theft, vandalism, and terrorist activity
- NOT a Compliance Review
  - No enforcement action
  - No penalties
- Seal of Approval from the TSA
  - Use in marketing and sales efforts
  - Reduces liability and exposure
  - Insurance benefits



# Initiatives

- Reviews conducted by TSA HQ Transportation Security Specialists
- “Force Multiplying” Efforts
  - The Missouri Pilot
  - Federal Security Director (FSD) CSR Pilot
- Insurance Industry





# The Missouri Pilot

- Partnership
  - TSA
  - Federal Motor Carrier Safety Administration (FMCSA)
  - Missouri Department of Transportation (MoDOT) Motor Carrier Services Safety & Compliance Division
  - Commercial Vehicle Safety Alliance (CVSA)
- Spring 2006 Pilot Kick-off
- Spring 2007 Program
- Over 3,000 CSRs Completed
- Future
  - Partner with Additional States



# FSD CSR Pilot

- TSA field office personnel
  - FSD Security Assessment Personnel
  - Surface Transportation Security Inspectors and Aviation Transportation Security Inspectors
- Training
  - Pittsburgh, PA February 2008
  - Little Rock, AR March 2008
  - Reno, NV March 2008
- Future
  - Expand FSD Involvement to Airports Nationwide



# To Request a CSR

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TSA

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23

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# Questions?



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25